Precise timing of abrupt increase in dust activity in the Middle East coincident with 4.2 ka social change

Stacy A. Carolina,1 Richard T. Walker, Christopher C. Day, Vasile Ersek, R. Alastair Sloan, Michael W. Dee, Morteza Talebian, and Gideon M. Henderson

*Department of Earth Sciences, University of Oxford, OX1 3AN Oxford, United Kingdom; †Department of Geography and Environmental Sciences, Northumbria University, NE1 8ST Newcastle-upon-Tyne, United Kingdom; ‡Department of Geological Sciences, University of Cape Town, 7701 Rondebosch, South Africa; §Centre for Isotope Research, University of Groningen, 9747 AG Groningen, The Netherlands; and ¶Research Institute for Earth Sciences, Geological Survey of Iran, Tehran, Iran

Edited by Glen M. MacDonald, University of California, Los Angeles, CA, and approved November 16, 2018 (received for review May 10, 2018)

The extent to which climate change causes significant societal disruption remains controversial. An important example is the collapse of the Akkadian Empire in northern Mesopotamia ~4.2 ka, for which the existence of a coincident climate event is still uncertain. Here we present an Iranian stalagmite record spanning 5.2 ka to 3.7 ka, dated with 25 U/Th ages that provide an average age uncertainty of 3.1 y (1σ). We find two periods of increased Mg/Ca, beginning abruptly at 4.51 ka and 4.26 ka, and lasting 110 and 290 y, respectively. Each of these periods coincides with slower vertical stalagmite growth and a gradual increase in stable oxygen isotope ratios. The periods of high Mg/Ca are explained by periods of increased dust flux sourced from the Mesopotamia region, and the abrupt onset of this dustiness indicates threshold behavior in response to aridity. This interpretation is consistent with existing marine and terrestrial records from the broad region, which also suggest that the later, longer event beginning at 4.26 ka is of greater regional extent and/or amplitude. The chronological precision and high resolution of our record indicates that there is no significant difference, at decadal level, between the start date of the second, larger dust event and the timing of North Mesopotamia settlement abandonment, and furthermore reveals striking similarity between the total duration of the second dust event and settlement abandonment. The Iranian record demonstrates this region’s threshold behavior in dust production, and its ability to maintain this climate state for multiple centuries naturally.

Significance

A speleothem geochemical record from northern Iran captures significant climate fluctuations during the mid-to-late Holocene at high resolution. Two abrupt shifts in Mg/Ca last for more than a century and are interpreted as enhanced dust activity, indicating a threshold behavior in response to aridity. Coincident gradual peaks in δ18O support the interpretation of regional drying. The precise chronology shows the later event, 4.26 ka to 3.97 ka, is coincident within decades of the period of abandonment of advanced urban settlements in northern Mesopotamia, strengthening the argument for association between societal and climatic change. The record demonstrates the abrupt onset of dust production in the region and ability to maintain this dry climate state for multiple centuries naturally.

Author contributions: S.A.C., R.T.W., and V.E. designed research; S.A.C., R.T.W., V.E., R.A.S., and M.T. performed research; S.A.C., C.C.D., M.W.D., and G.M.H. analyzed data; and S.A.C., R.T.W., C.C.D., V.E., R.A.S., M.W.D., and G.M.H. wrote the paper.

The authors declare no conflict of interest.

1To whom correspondence should be addressed. Email: stacy.carolin@earth.ox.ac.uk.

This article is a PNAS Direct Submission.

Published under the PNAS license.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1808103115/-/DCSupplemental.
Comparative to that observed in the two marine records at ∼4.2 ka (Fig. 2A). Lack of an abrupt signal in this proxy may be expected, as speleothem δ¹⁸O is complex and responds to climate change on a large spatial scale (e.g., ref. 18).

Dyresdale et al. (6) discovered a pronounced signal in other speleothem proxies (Mg/Ca, δ¹³C, and fluorescence measurements) at ∼4.2 ka (Fig. 2A) in a central Mediterranean flowstone sample from Buca della Ranella cave (Fig. 1, location 3). Later higher-resolution δ¹⁸O work on the same sample (19) also indicated a δ¹⁸O signal at this time and, combined with other central Mediterranean records, suggested that the event in this region was likely characterized by longer summer drought (19). Unfortunately, the age uncertainty on this particular flowstone is not an improvement over the marine records, so the timing and duration of the signal remains uncertain. Modern climate records also suggest that the central Mediterranean has little correlation with rainfall in northern Mesopotamia on seasonal to interannual timescales, so the relevance of this site to the key archeological region is unclear (Fig. 1).

In this study, we aim to assess whether an unusual climate event is indeed evident at, or close to, the location of the north Mesopotamia settlements that show a large transition at this time. We investigate the magnitude and duration of climate variation in a precisely dated mid-to-late Holocene record, and assess the uniqueness of the 4.2 ka event.

The Middle East is characterized by aridity, and the alluvial plains of the Tigris and Euphrates rivers are one of the major world source areas of dust (20). Dust storm activity is a function of climate in the source region, and can increase due to multiple interrelated factors (precipitation amount, vegetation cover, or wind speed) (21), with sometimes large-magnitude changes on abrupt timescales (22, 23). Cullen et al. (3) captured an abrupt, factor-of-5 increase in eolian deposits from Mesopotamia at 4.1 ± 0.1 (1σ) ka in a Gulf of Oman marine sediment record (Fig. 24). It is plausible that this dust event is captured in terrestrial archives, such as speleothems that can be sampled for trace elements at high resolution, if the concentration of particular elements leached from the dust deposit is large compared with the karst limestone background concentrations.

Here we present an annual- to decadal-scale stalagmite multiproxy record from northwest Iran spanning 5.2 ka to 3.7 ka. The record is dated at high resolution and contains large abrupt changes in Mg/Ca, which are explained by sensitivity to dust input to the overlying soil. An apparent threshold behavior between dustiness and aridity allows detailed assessment of change during the mid-Holocene, as well as a precise chronology for the 4.2 ka climatic event, notably at a terrestrial site near North Mesopotamia, the key region of societal change at this time.

**Speleothem 4.2 ka Dust Record with Precise Age Model Cave from the Iranian Plateau.** The Iranian plateau is located directly to the east (downwind) of Mesopotamia. Rainfall patterns in west Iran (Zagros mountains) and northern Iraq (Alborz mountains) are correlated with Mesopotamia on seasonal to interannual timescales (Fig. 1), dominated by winter precipitation (SI Appendix). Gol-e-Zard (“Yellow Flower”) cave (Fig. 1, location 9) is situated on the southern slopes of the Alborz mountains (35.84°N, 52.00°E), 2,535 m above sea level (SI Appendix, Fig. S2). Stalagmite GZ14-1 was collected near the end of the cave’s single ∼300-m-long passage in 2014 (SI Appendix).

Dust storms in the region, sourced from the Tigris–Euphrates alluvial plain in Syria and Iraq (24), are categorized into two groups: the summer Shamal, with highest event frequency in June and July, and frontal dust storms, the most common events in the nonsummer season (25). The summer Shamal winds, strong northwesterlies near the surface, transport dust across Iraq, Kuwait, the Persian Gulf, and parts of the Arabian Peninsula (e.g., refs. 26 and 27). Givechi et al. (28) analyzed the 2009–2010 dusty episodes in Tehran, 50 km southwest of Gol-e-Zard cave (this study) (SI Appendix, Fig. S2), and concluded that ∼90% of the dust-related PM₁₀ concentrations was sourced from the deserts of Syria and Iraq (SI Appendix, Fig. S3). Indeed, analysis of
natural hazard-level Shamal dust storms between 2003 and 2011 shows the two most common synoptic types associated with these dust storms to be capable of transporting dust to west and central Iran (Fig. 1 shows the 850-mb winds of a destructive dust storm observed in Iran in July 2009) (25, 28). Additionally, analysis of frontal dust storms shows a synoptic pattern that transports dust northeastward to west and central Iran, and, in extreme cases, as far north as the Caspian coast (25). As rainfall occurs almost exclusively during the winter months in the Middle East region, there is minimal precipitation along the dust transport path during the summer Shamal. Gravitational settling is thus the dominant mechanism for atmospheric scavenging (21).

Gol-e-Zard cave receives an average ∼380 mm precipitation annually, with ∼50 mm total accumulated rainfall in June through September, 10 times greater than the surrounding plateau, due to its higher elevation (29) (SI Appendix, Fig. S4). Typically monthly surface temperatures above the cave range from −12 °C in the winter to 26 °C in the summer, and the site is covered with snow in the winter (29) (SI Appendix, Figs. S5 and S6). The temperature within the cave is assumed to be the average annual temperature, ∼7 °C.

**Timing of Arid Periods.** Twenty-five U/Th dates (Methods and SI Appendix, Figs. S7 and S8 and Table S3) and thin section analysis indicate that stalagmite GZ14-1 grew with no recognizable hiatuses from 5.2 ka to 3.7 ka, covering the age of the decline of the Akkadian empire and abandonment of urban settlements in northern Mesopotamia. The age model, with 68% and 95% confidence ranges, was constructed using OxCal’s Poisson process deposition model (30, 31) and has an average age error of 31 y (1σ) (Methods and SI Appendix), with larger errors during the slower growth periods (Fig. 3 and Dataset S1). GZ14-1 grew relatively quickly throughout the majority of the record.

![Fig. 2.](image) Mid-to-late Holocene records of climatology in the Mesopotamia region. (A) Marine records: 1, Red Sea sediment core GeoB 5836-2 shallow dwelling foraminifera *Globigerinoides ruber* δ¹⁸O (5); 2, Gulf of Oman Core MS-422 eolian dolomite concentration (percent weight) (3). Terrestrial records: 3, Buca della Ranella RL4 stalagmite δ¹⁸O record (6, 19); 4, Sofular cave So-1 stalagmite δ¹⁸O record (42); 5, Jelta J-1 stalagmite δ¹⁸O record (43); 6, Soreq cave multiple stalactite and stalagmite δ¹⁸O records (8, 44); 7, Qunf cave Q5 stalagmite δ¹⁸O record (45); 8, Tonnel’nya cave TON-2 stalagmite δ¹⁸O record (46). Locations of the caves are shown in Fig. 1. (B) Local climate proxies, Mg/Ca (millimoles per mole) and δ¹³C (per mil), measured in the Buca della Ranella RL4 stalagmite (6, 19), are plotted with our high-resolution δ¹⁸O (per mil) record (19), all on the updated age model (19). A gray dotted line in both A and B indicates the location of date 4.2 ka before 1950 CE.

![Fig. 3.](image) GZ14-1 age v. depth plot with OxCal Poisson process deposition age model 68% (black) and 95% (dark gray) confidence ranges (30, 31). Original individual U-series samples’ ages are plotted as black “x” shapes. Individual samples’ modeled age distributions are shown in dark gray (68%) and light gray (95%). (Inset) GZ14-1’s mean extension rate (micrometers per year), plotted as a 20-y moving average of the annually interpolated OxCal mean extension rate, is included as a subset.
(>130 μm/y). However, in two periods, the extension rate, or vertical growth rate, falls below 100 μm/y, dropping to ~15 μm/y to 20 μm/y: 4.57 ka to 4.38 ka and 4.32 ka to 3.91 ka (start to end date) (Fig. 3). The decreased extension rate is suggestive of drier local conditions; however, it is important to note that other factors not directly related to rainfall, such as drip rate, temperature, and dripwater chemistry, also are capable of affecting the extension rate (e.g., ref. 32). Thus, without complementary proxies, the extension rate in a single stalagmite is inconclusive.

The ratio of Mg/Ca in GZ14-1 exhibits sudden changes co-incident with the periods of slow vertical growth. Mg/Ca abruptly increases at the start of two periods, lasting from 4.51 ka to 4.40 ka and from 4.26 ka to 3.97 ka (Fig. 4A) (Methods). Error in the start and end dates of these periods ranges between 40 y and 70 y (1σ) due to the slow growth rate of this interval (Dataset S1).

The rise in GZ14-1 Mg/Ca is most readily interpreted as an increase in Mesopotamia-sourced dust. Mineralogical studies show that dust sourced from this region contains dolomite (33, 34), and a greater dust flux and deposition over the Gol-e-Zard cave site is likely to result in a greater Mg/Ca ratio in dripwaters through dissolution of the dust particulates in the soil above the cave (SI Appendix). Occasional rainfall in the summer months and snow cover in the winter months may also help prevent the dust from being blown off before dissolution.

Increased prior calcite precipitate (PCP), a term used to describe the precipitation of calcite within the karst conduits before the dripwater arrives on the stalagmite, is a second mechanism that could increase stalagmite Mg/Ca ratios (35). This mechanism can be ruled out as the major cause of Mg/Ca change in this record, however, because other element and isotopic ratios affected by PCP, such as Sr/Ca, Ba/Ca, and δ13C, do not follow the expected behavior associated with PCP (SI Appendix, Fig. S10).

A mass balance calculation based on the concentration of trace elements in the host rock that allows for dissolution of dolomite-containing dust can produce the observed magnitude shift in
Mg/Ca, Sr/Ca, and Ba/Ca ratios, supporting such dust dissolution as the major control on Mg/Ca (SI Appendix, Fig. S11).

**Discussion**

This study shows two centennial-scale periods of high Mg/Ca with abrupt beginnings and ends (Fig. 4A). The events demonstrate threshold behavior in dustiness of the Mesopotamia region, due to either enhanced aridity, stronger winds, or change in soil properties or vegetation cover (21). Several factors suggest a drier regional climate coincident with these two century-scale dusty periods. The stalagmite was collected from a site at which interannual rainfall variability today is positively correlated with rainfall variability in north Mesopotamia (Fig. L4, location 9). During the two periods of anomalously high Mg/Ca, the stalagmite δ18O record exhibits a gradual increase followed by a decrease back to baseline values (Fig. 4B). Based on the limited modern rainfall δ18O data available, the increased stalagmite δ18O can be interpreted as a decrease in precipitation amount at Gol-e-Zard cave: Rainwater δ18O at Tehran (50 km SW of the cave site) between 1962 and 1972 (36) has a negative correlation with annual average precipitation amount (51% of the variance in δ18O of rainwater is predictable from rainfall amount) (SI Appendix, Fig. S13). The stalagmite multiproxy record is therefore interpreted as two periods when enhanced dustiness was caused by some threshold behavior, in which the region became sufficiently dry that dust sources increased dramatically. Similar behavior has been seen in other settings, notably during variation in aridity in North Africa (22, 23).

Additional information on regional climate during the dusty periods is found in other nearby speleothem records, which show stable isotope enrichment, interpreted as evidence of more arid conditions, around the same periods as the Iranian stalagmite Mg/Ca dust proxy events (Fig. 4B). The records support our interpretation of drying in the region during these two periods. However, the stable isotope proxies in other speleothem records are not exhibiting abrupt or anomalous shifts and therefore do not allow for as precise a chronology of climate variations as is obtained using the Iranian Mg/Ca proxy (Fig. 4).

The Red Sea sediment record (Fig. L4, location 1) does show a clear, anomalous 2‰ increase in planktonic δ18O, interpreted as drier conditions and enhanced evaporation in the region from 4.2 to 4.1 ka (±0.1; 1σ) ka (Fig. 4B) (5). The Gulf of Oman record (3) only demonstrates one period of dustiness [at 4.1 (±0.1, 1σ) ka, which overlaps an instance of less extreme aridity (indicated by a 0.3‰ δ18O increase) from 4.5 to 4.4 (±0.1; 1σ) ka (Fig. 4B)] (5). The Gulf of Oman record (3) only demonstrates one period of dustiness [at 4.1 (±0.1, 1σ) ka, and no earlier event at ~4.5 ka (Fig. 4A)]. Taken with the results from this study, these records demonstrate the presence of two arid periods, but indicate that the later of these—at 4.2 ka—is of larger amplitude and has a greater spatial extent, apparently influencing the broad Middle Eastern region. The precise chronology of the record presented here allows the duration of these two events to be assessed, and demonstrates the event starting at 4.2 ka was of longer duration, as well as larger extent, than the earlier 4.5 ka event (~290 y versus ~110 y).

A hierarchy of urbanized settlements and structured economies in northern Mesopotamia (e.g., ref. 13) were abandoned at 4.19 ± 0.02 (1σ) ka (Fig. 4C) (17). These abandoned settlements, which are connected with the wider decline of the Akkadian Empire, do not show evidence of repopulation until 3.90 ± 0.03 (1σ) ka, ~300 y later (17). The Iran stalagmite climate proxy record is strategically located in close proximity to the settlements, to challenge the originally proposed linkage (12) between human societal transformations in north Mesopotamia and climate change. The Mg/Ca record suggests an abrupt start and end to a ~300-y dusty period at this time (Fig. 4A), overlaying a more gradual trend toward maximum aridity seen in the δ18O record. A two-tailed Student t test (SI Appendix) confirms the statistically significant distinction of indistinguishable ages between the onset of abrupt dust event [4.26 ± 0.066 (1σ) ka] and the timing of settlement collapse in north Mesopotamia [4.19 ± 0.017 (1σ) ka], supporting the possibility of a relation between the two. Further, the remarkably similar durations of the dusty/arid event (~290 y) and the abandoned settlements (~300 y) provides additional support for this relationship. It is possible that the link is explained by the fact that these agricultural settlements were located in marginal areas particularly vulnerable to variations of aridity.

The Iran stalagmite record of this study delivers a significantly improved age model that is able to capture the precise start and end points for two periods of “switched on” dust events originating in Mesopotamia, as well as the duration of these periods, between 5.2 ka and 3.7 ka. The second period of heightened dust flux, suggested to be of greater magnitude and/or larger regional extent, occurs within decadal-scale error of the decline of the Akkadian empire and abandonment of advanced urban settlements in north Mesopotamia [4.19 ± 0.02 (1σ) ka], strengthening the case for association between societal and environmental change. Comparison with the sample’s stable isotope record and regional speleothem and marine paleoclimate records supports the idea that both periods of switched on dust activity coincide with periods of drier climate, and that the later 290-y period beginning at 4.26 ± 0.066 (1σ) ka was more extreme in magnitude than the earlier shorter period. Evidence of centennial-scale periods of enhanced dust activity in the Middle East that begin abruptly and correspond with a slower trend toward drier conditions in the region provides additional insight on the magnitude of natural climate variability in this region, notably within global climate parameters that are similar to present.

**Methods**

**U/Th Ages.** Stalagmite GZ14-1 was sliced in half vertically using a tile saw, and 80- to 230-mg calcite samples (weight varying due to size of lamina and distance from other U/Th ages) were drilled with a 0.8- or 1.0-mm-diameter drill bit at various distances from the top of the stalagmite (SI Appendix, Fig. S7). Sample drill depth into the stalagmite halve was ~2 mm to 3 mm. The powder calcite samples were dissolved in nitric acid and spiked with a mixed 232Th–234U solution (37), and the U and Th fractions were separated following procedures adapted from Edwards et al. (38). U and Th isotopes were measured using a Nu Plasma multicollector inductively coupled plasma mass spectrometer (MC-ICP-MS) at Oxford University, following the procedures described in Vaks et al. (39). Individual ages and 95% confidence intervals were calculated using an in-house Monte Carlo script that incorporates chemical blank errors, analytical uncertainties, and the initial 230Th/232Th ratio of 5.38 ± 3.58 ppm (uniform distribution) (SI Appendix, Table S3).

**Age Model.** The age model with 68% and 95% confidence ranges was produced using OxCal Version 4.3 Poisson process deposition model (k0 = 1 cm–1, log10(k/k0) = U–(2,2)], with interpolation (30, 31) (SI Appendix, Table S4 and Dataset S1).

**Proxy Sample Extraction.** The working half of G214-1 was slabbened and mounted to a New Wave MicroMill. Element and stable isotope powder samples were drilled with a flat-base, cylindrical 0.8-mm-diameter tungsten carbide drill bit in a trench along the growth axis at 500- and 250-μm step intervals for initial low-resolution sampling, followed by 100- and 50-μm step intervals for high-resolution sampling. Depth of drilling was ~500 μm for low resolution and ~1,000 μm for high resolution, and the width perpendicular to growth axis was 2.5 mm for the high-resolution samples (SI Appendix, Fig. S7). ~500- to 1,000-μg powders were collected individually using aluminum spatulas and stored in compressed air-cleaned plastic 2-mL centrifuge tubes.

**Trace Element/Ca Ratios.** Between 80 μg and 100 μg of calcite was removed from the storage tubes using an ethanol-cleaned spatula and analyzed for a suite of trace elements (Mg, Sr, Ba, S, Na, K, P, Cr, Mn, Fe, Co, Zn, and U) using a Thermo Scientific Element 2 ICP-MS at Oxford University. All samples (calcite and water samples) were diluted to 10-ppm Ca concentration for analysis. Calibration standards bracketed every 20 samples to correct for drift, and a secondary standard was measured every 10 samples to calculate precision/accuracy. Trace element-to-Ca ratios were determined using the “ratio” method (40). Mg/Ca, Sr/Ca, Ba/Ca, and S/Ca ratios are provided in Dataset S2.
Stable Isotope Ratios. Between 30 μg and 60 μg of calcite was removed from the storage tubes using an ethanol-cleaned spatula and analyzed for oxygen and carbon stable isotope values using a Thermo Scientific Delta V isotope ratio mass spectrometer (RMU) coupled to a Kiel V carbonate device at Oxford University. Each batch (up to 38 samples) was measured with calibration standards and evenly scattered secondary standards. Precision/accuracy was calculated using the secondary standards’ 1-long term average and SD (δ18O = ±0.07‰, δ13C = ±0.05‰, 1σ). The δ18O and δ13C records are provided in Dataset S2. Water sample hydrogen and oxygen stable isotopes were measured on the same Delta V (RMU) gas preparation and introduction system. Water calibration standards and evenly scattered secondary standards were used for water analyses (δ18O = ±0.09‰, 1σ).

XRD Analysis. The ~0.2- to 5-mm powder samples (smaller samples for GZ14-1, larger samples for overlying rock) were analyzed using a PANalytical Empyrean Series 2 powder diffractometer at Oxford University. HighScore software was used to detect peaks and measure peak size, and calculate percentage of mineral in the sample based on user-chosen mineral candidates. Candidates were chosen based on (i) whether the most intense peaks for that mineral occurred in the data and (ii) whether the mineral assemblage makes sense given prior knowledge of the sample.

Event Timing and Errors. Linear interpolation was used to create a 10-y-resolution Mg/Ca record (Dataset S3). A histogram of the Mg/Ca ratios was created to identify the secondary standards used for calibration. Water calibration standards and evenly scattered secondary standards were used for water analyses (δ18O = ±0.09‰, 1σ).

ACKNOWLEDGMENTS. We thank Vahid Ashrafi, Saeed Hasheminazhad, and Javad Nezamdoost from the Iranian Cave and Speleology Association, who were instrumental in fieldwork and sample collection. We thank S. Hemming and the participants of the Comer Changelings Conference, as well as D. Fleitmann, S. L. Jones, G. Zanchetta, H. Weiss, M. Wencel, and the Research Laboratory for Archaeology and the History of Art at Oxford University, for helpful discussions in preparation of the manuscript. We thank Oxford undergraduate student Luke Maxfield for his assistance in milling and analyzing stable isotope and trace element samples. We thank A. Hsieh for his assistance with the MC-ICP-MS, and P. Holdship for his assistance with the Element ICP-MS. We thank H. Soskolne for his assistance in producing back-trajectory plots to track the source of rainwater in the Tehran region. We are grateful for the editorial handling of our manuscript and helpful anonymous reviewer input. This work was supported by the Leverhulme Trust, Research Project Grant RGP-2013-235, the Royal Geographical Society Thesiger-Oman Fellowship, and the British Cave Research Association Ghar Parsa Foundation Expedition Grant.